

PTO-1390 (Rev. 02-2005)

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| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>   |  | ATTORNEY'S DOCKET NUMBER<br>22294-00001-US                          |
| INTERNATIONAL APPLICATION NO.<br>PCT/FR03/002685  | INTERNATIONAL FILING DATE<br>10 September 2003 | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>10/527595</b> |
| TITLE OF INVENTION      METHOD OF PRODUCING CRYSTALLINE LITHIUM/VANADIUM OXIDE POWDER   |  |   |
| APPLICANT(S) FOR DO/EO/US      Arnaud Harabasz et al.   |  |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |  |   |
| <p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))           <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul> </p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).           <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul> </p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ul> </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</p> |  |   |
| Items 11 to 20 below concern document(s) or information included:   |  |   |
| <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Return Receipt Postcard</p>   |  |   |

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|--|--|---|-----------|--|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br><b>10/527595</b>  | INTERNATIONAL APPLICATION NO.<br>PCT/FR03/002685 | ATTORNEY'S DOCKET NUMBER<br>22294-00001-US                                    |           |  |
| The following fees have been submitted   |  | CALCULATIONS      PTO USE ONLY  |           |  |
| 21. <input checked="" type="checkbox"/> Basic national fee ..... \$300   |  | \$ 300.00   |           |  |
| 22. <input checked="" type="checkbox"/> Examination fee<br>If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$100<br>All other situations ..... \$200  |  |   |           |  |
| 23. <input checked="" type="checkbox"/> Search fee<br><br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$100<br>International Search Report prepared and provided to the Office ..... \$400<br>All other situations ..... \$500 |  | \$ 200.00   |           |  |
| <b>TOTAL OF 21, 22 and 23 =</b>  |  | \$ 500.00   |           |  |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.   |  |   |           |  |
| Total Sheets   | Extra sheets                                     | Number of each additional 50 or fraction thereof (round up to a whole number) |           |  |
| 8 - 100 =  | /50 =  | x \$250.00  |           |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).  |  | \$  |           |  |
| CLAIMS   | NUMBER FILED                                     | NUMBER EXTRA  | RATE      |  |
| Total claims   | 20 - 20 =  |   | x \$ 0.00 |  |
| Independent claims   | 2 - 3 =  |   | x \$ 0.00 |  |
| MULTIPLE DEPENDENT CLAIM(s) (if applicable)  |  | + \$  |           |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |  | \$ 1,000.00   |           |  |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.   |  | \$  |           |  |
| <b>SUBTOTAL =</b>  |  | \$ 1,000.00   |           |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).   |  | + \$  |           |  |
| <b>TOTAL NATIONAL FEE =</b>  |  | \$ 1,000.00   |           |  |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |  | + \$  |           |  |
| <b>TOTAL FEES ENCLOSED =</b>   |  | \$ 1,000.00   |           |  |
|  |  | Amount to be refunded: \$   |           |  |
|  |  | Amount to be charged: \$  |           |  |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.   |  |   |           |  |
| b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>22-0185</u> in the amount of \$ <u>1,000.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.  |  |   |           |  |
| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>22-0185</u> . A duplicate copy of this sheet is enclosed.  |  |   |           |  |
| d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.   |  |   |           |  |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.  |  |   |           |  |
| SEND ALL CORRESPONDENCE TO:<br><br><br>SIGNATURE:<br>Susan E. Shaw McBee   |  |   |           |  |
| NAME _____   |  |   |           |  |
| CUSTOMER NUMBER: 30678 _____ 39,294 _____<br>REGISTRATION NUMBER   |  |   |           |  |

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**Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**

**FEE TRANSMITTAL  
For FY 2005**

Applicant claims small entity status. See 37 CFR 1.27

|                                |                      |
|--------------------------------|----------------------|
| <b>TOTAL AMOUNT OF PAYMENT</b> | <b>(\$)</b> 1,000.00 |
|--------------------------------|----------------------|

**Complete if Known:**

|                      |                       |
|----------------------|-----------------------|
| Application Number   | Not Yet Assigned      |
| Filing Date          | Concurrently Herewith |
| First Named Inventor | Arnaud Harabasz       |
| Examiner Name        | Not Yet Assigned      |
| Art Unit             | N/A                   |
| Attorney Docket No.  | 22294-00001-US        |

10/527595

**METHOD OF PAYMENT** (check all that apply)

|   |                                      |                                      |                               |   |
|---|--------------------------------------|--------------------------------------|-------------------------------|---|
| <input type="checkbox"/> Check  | <input type="checkbox"/> Credit Card | <input type="checkbox"/> Money Order | <input type="checkbox"/> None | <input type="checkbox"/> Other (please identify): _____ |
| <input checked="" type="checkbox"/> Deposit Account    Deposit Account Number: <u>22-0185</u> Deposit Account Name: <u>Connolly Bove Lodge &amp; Hutz LLP</u> |                                      |                                      |                               |   |

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

|  |   |
|--|---|
| <input type="checkbox"/> Charge fee(s) indicated below   | <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee |
| <input type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 | <input checked="" type="checkbox"/> Credit any overpayments                       |

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| <u>Application Type</u> | <u>FILING FEES</u> |                              | <u>SEARCH FEES</u> |                              | <u>EXAMINATION FEES</u> |                              | <u>Fees Paid (\$)</u> |
|-------------------------|--------------------|------------------------------|--------------------|------------------------------|-------------------------|------------------------------|-----------------------|
|                         | <u>Fee (\$)</u>    | <u>Small Entity Fee (\$)</u> | <u>Fee (\$)</u>    | <u>Small Entity Fee (\$)</u> | <u>Fee (\$)</u>         | <u>Small Entity Fee (\$)</u> |                       |
| Utility                 | 300                | 150                          | 500                | 250                          | 200                     | 100                          |                       |
| Design                  | 200                | 100                          | 100                | 50                           | 130                     | 65                           |                       |
| Plant                   | 200                | 100                          | 300                | 150                          | 160                     | 80                           |                       |
| Reissue                 | 300                | 150                          | 500                | 250                          | 600                     | 300                          |                       |
| Provisional             | 200                | 100                          | 0                  | 0                            | 0                       | 0                            |                       |

**2. EXCESS CLAIM FEES**Fee Description

Each claim over 20 (including Reissues)

| <u>Fee (\$)</u> | <u>Small Entity Fee (\$)</u> |
|-----------------|------------------------------|
| 50              | 25                           |
| 200             | 100                          |
| 360             | 180                          |

Each independent claim over 3 (including Reissues)

Multiple dependent claims

| <u>Total Claims</u> | <u>Extra Claims</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> | <u>Multiple Dependent Claims</u>     |
|---------------------|---------------------|-----------------|----------------------|--------------------------------------|
| 20 - 20 =           | x                   | =               |                      | <u>Fee (\$)</u> <u>Fee Paid (\$)</u> |
| 2 - 3 =             | x                   | =               |                      |                                      |

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

| <u>Total Sheets</u> | <u>Extra Sheets</u> | <u>Number of each additional 50 or fraction thereof</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
|---------------------|---------------------|---|-----------------|----------------------|
| 8 - 100 =           | /50                 | (round up to a whole number) x                          | =               |                      |

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

|                                      |   |        |
|--------------------------------------|---|--------|
| Other (e.g., late filing surcharge): | 1631 Basic National Stage fee                         | 300.00 |
|                                      | 1633 National Stage Examination Fee - all other ...   | 200.00 |
|                                      | 1632 National Stage Search Fee - all other situations | 500.00 |

**SUBMITTED BY**

|                   |                            |                                      |        |                |                |
|-------------------|----------------------------|--------------------------------------|--------|----------------|----------------|
| Signature         | <u>Susan E. Shaw McBee</u> | Registration No.<br>(Attorney/Agent) | 39,294 | Telephone      | (202) 331-7111 |
| Name (Print/Type) | Susan E. Shaw McBee        |                                      | Date   | March 14, 2005 |                |